OIPE 40 SCOTO 19 2005 SCOTO 19 2005 SCOTO AS

26 16 Ja

PTO/SB/21 (09-04) (AW 10/2004) Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

nder the Paperwork Region Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5+1 Ref.+Ofc.Action

Application Number	09/811,682	
Filing Date	March 19, 2001	_
First Named Inventor	Yuji Fujiwara et al.	
Art Unit	2616	
Examiner Name	Andrew I. Faile	
Attorney Docket No.	MTS-3237US	

	ENCLOSURES (Check all that apply)					
Fee Transmi	ittal Form ttached	Drawing(s) Licensing-related	Papers		After Allowance Communication to TC	
Amendment/Reply After Final Affidavits/Declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement (Supplemental)  Certified Copy of Priority Document(s)		Petition  Petition to Convert Provisional Applic  Power of Attorney Change of Correst Address  Terminal Disclaim  Request for Refut  CD, Number of C	eation	D D D D D D D D D D D D D D D D D D D	Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please identify below):  Form SB/08(a)&(b)	
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Remarks:	Table on CD			
	SIGNATUR	E OF APPLICANT, ATT	ORNEY OR A	GENT		
Signature	atnerPrestia  Danul N. Ca  aniel N. Calder	eden				
Date September 14, 2005		Registration No. 27,424		24		
CERTIFICATE OF TRANSMISSION / MAILING						
I hereby certify that this postage as first class r	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:					
Signature	Deboral.	Spratt				
Typed or Printed Na	ame Deborah Spratt	1		Date	September 14, 2005	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

\_ MTS-3237US



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

09/811,682

Applicant: Filed:

Yuji Fujiwara et al. March 19, 2001

Title:

SIGNAL RECORDING APPARATUS AND METHOD, SIGNAL REPRODUCING APPARATUS AND METHOD, MEDIUM, AND

**INFORMATION ASSEMBLY** 

TC/A.U.:

2616

Examiner:

Andrew I. Faile

Confirmation No.:

9387

Docket No.:

MTS-3237US

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and/or PTO/SB/08b form submitted herewith. A copy of the references listed on the PTO/SB/08a and/or PTO/SB/08b form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

The following reference is not in the English language:

1) JP 06-334983

Reference 1) includes an English language abstract.

Reference 1) includes a partial English language translation.

Reference 1) was cited in an Office Action by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this Japanese

Office Action, along with a partial English translation, is transmitted herewith. MPEP Section 609A(3), second paragraph.

This Supplemental Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Daniel N. Calder, Reg. No. 27,424

mil N. Carde

Attorney for Applicants

DNC/ds

Enclosures: PTO/SB/08a and/or PTO/SB/08b

(1) Reference

Office Action w/partial translation

Transmittal Form

Dated: September 14, 2005

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

September 14, 2005

Deborah Spratt

DAS\_I:\MTS\3237US\IDS\_03.DOC



### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

	Complete if Known	
Application Number	09/811,682	
Filing Date	March 19, 2001	
First Named Inventor	Yuji Fujiwara et al.	
Art Unit	2616	
Examiner Name	Andrew I. Faile	
Attorney Docket No.	MTS-3237US	

Considered

U.S. PATENT DOCUMENTS					
		Document Number			Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-			
	1	US-			

Symmina	Cita	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>
		JP 06-334983 (with English Abstract) (with partial English Translation)	12-02-1994	SONY CORP.		Ø
Francisco	_			l Data	1	
Examiner	1			Date	1	

**FOREIGN PATENT DOCUMENTS** 

Signature

<sup>\*</sup>EXAMINER: Initial If reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional).

2See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>&</sup>lt;sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

<sup>&</sup>quot;Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

	Complete if Known	
Application Number	09/811,682	
Filing Date	March 19, 2001	
First Named Inventor	Yuji Fujiwara et al.	
Art Unit	2616	
Examiner Name	Andrew I. Faile	
Attorney Docket No.	MTS-3237US	

Examiner Initials*	Cite No.1	magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Japanese Office Action corresponding to application no. 2000-75789 dated June 14, 2005	×
	,	(w/partial English translation).	
			-
<u>,</u>	-		
Examiner Signature		Date Considered	

**NON-PATENT LITERATURE DOCUMENTS** 

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO). to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.